



# About NewEdge Advisors

WE SUPPORT  
SUCCESSFUL  
FINANCIAL ADVISORS

Founded in 2015, NewEdge Advisors is an SEC Registered Investment Advisor (RIA) supporting a network of over 220 financial advisors across the nation.

NewEdge was built *by advisors for advisors* to give independent advisory practices a better way to serve clients. The NewEdge platform provides advisors with access to various capabilities and resources, including investments, custody, technology, research, and more.

Although each advisory team operates independent practices, we provide a platform that encourages a culture of collaboration and idea-sharing across the network.

Collectively, NewEdge Advisors is shaping the future of independent advice and helping American families prepare for their financial future.

## How We Support Your Financial Advisor

### Practice Management & Business

**Support:** Our staff of professionals is solely focused on supporting the practice management and business needs of our affiliated partners providing them with the flexibility to manage their client relationships.

**Compliance:** The experienced Compliance team at NewEdge Advisors provides sophisticated compliance oversight to ensure all practices are operating under the most up-to-date federal and state regulatory requirements.

**Custodial Access:** We provide Investment Adviser Representatives (IARs) with connectivity to the industry's largest and most trusted custody and clearing platforms. NewEdge Advisors does not directly custody client assets.

### Investment Management Solutions:

NewEdge Advisors provides access to elective proprietary and 3rd party investment management solutions. The firm utilizes its size and scale to reduce the normal cost to access such solutions.

### Back-Office & Operations Support:

Our home office provides back-office support to independent advisor practices and their staff, so they can focus more of their time serving their clients.

**Human Resources:** Our firm assists independent practices with staffing, candidate sourcing, problem-solving, payroll, and benefit services.

## CORPORATE SNAPSHOT



**\$16.8B<sup>★</sup>**  
Assets Serviced



**65,000+**  
Client Accounts Serviced



**122**  
Branch Office Locations



**4**  
Custodial Partners



LPL Financial



RAYMOND JAMES



**220**  
Financial Advisors



**45**  
NewEdge Advisors Support Staff

As of 11/01/2021

# Let's talk.

For more information call (504) 459-4391 or  
[www.newedgeadvisors.com](http://www.newedgeadvisors.com).

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\*Assets Serviced reflects advisory assets of \$11,921,608,804 and brokerage assets of \$4,972,124,043 as of 11/1/2021.

## DISCLOSURES

NewEdge Advisors, LLC is a Registered Investment Adviser. Advisory services are only offered to clients or prospective clients where NewEdge Advisors, LLC and its representatives are properly registered or exempt from registration. Past performance is no guarantee of future returns. Investing involves risk and possible loss of principal capital. No advice may be rendered by NewEdge Advisors, LLC unless a client service agreement is in place. NewEdge Advisors, LLC's services will only be provided in states where NewEdge Advisors, LLC is registered or may be exempt from registration.

Securities are offered through LPL Financial, Member FINRA/SIPC, Triad Advisors, Member FINRA/SIPC, or NewEdge Securities, Inc. Representatives of NewEdge Advisors, LLC may be affiliated with one of these entities and will offer securities only where properly registered to do so. NewEdge Advisors, LLC is a separate entity from both LPL Financial and Triad Advisors. NewEdge Advisors, LLC and NewEdge Securities, Inc. are wholly owned subsidiaries of NewEdge Capital Group, LLC.

LPL Financial, Fidelity, Charles Schwab, and Raymond James are all separate entities.